

1
2
3
4
5
6
7
8 **UNITED STATES DISTRICT COURT**
9 **SOUTHERN DISTRICT OF CALIFORNIA**

10 TOMMY LANIER,

11 Plaintiff,

12 v.

13 UNITED STATES OF AMERICA, *et*
14 *al.*,

15 Defendants.
16

Case No. 15-cv-360-BAS(BLM)

ORDER:

(1) **GRANTING UNITED STATES
OF AMERICA’S MOTION TO
DISMISS; AND**

(2) **DENYING CITY OF CHULA
VISTA’S MOTION TO
DISMISS**

17 VALERIE E. TAYLOR,

18 Plaintiff,

19 v.

20 UNITED STATES OF AMERICA, *et*
21 *al.*,

22 Defendants.
23

Case No. 15-cv-586-BAS(BLM)

ORDER:

(1) **GRANTING UNITED STATES
OF AMERICA’S MOTION TO
DISMISS; AND**

(2) **GRANTING CITY OF CHULA
VISTA’S MOTION TO
DISMISS**

24 Plaintiffs Tommy LaNier and Valerie E. Taylor separately filed tort actions in
25 the San Diego Superior Court arising from their employment.¹ In both actions,
26

27
28 ¹ Mr. LaNier’s action is titled *LaNier v. United States*, No. 15-cv-360-BAS(BLM) (“LaNier Action”), and Ms. Taylor’s action is titled *Taylor v. United States*, No. 15-cv-586-BAS(BLM) (“Taylor Action”).

1 Plaintiffs amended their respective complaints to add the High Intensity Drug
 2 Trafficking Area (“HIDTA”) program as a defendant. On the basis that “HIDTA is
 3 a program administered by an office of the executive branch of the federal
 4 government” and thus a “claim against HIDTA is a claim against the United States,”
 5 Defendant United States of America (“Government”) removed both actions to federal
 6 court.² The parties agree that both actions were properly removed to federal court
 7 pursuant to 28 U.S.C. §§ 1441(a), 1442, and 1331. The Government now moves to
 8 dismiss all claims against HIDTA in both the LaNier and Taylor Actions. Defendant
 9 City of Chula Vista (“City” or “CCV”) also separately moves to dismiss all claims
 10 against it in both actions. All of the motions are opposed.

11 The Court finds these motions suitable for determination on the papers
 12 submitted and without oral argument. *See* Civ. L.R. 7.1(d)(1). For the following
 13 reasons, the Court **GRANTS** the Government’s motions to dismiss in both the
 14 LaNier and Taylor Actions, **DENIES** the City of Chula Vista’s motion to dismiss in
 15 the LaNier Action, **GRANTS** the City’s motion to dismiss in the Taylor Action, and
 16 **REMANDS** the Taylor Action to the San Diego Superior Court.

17 18 **I. BACKGROUND**

19 **A. HIDTA**

20 HIDTA is a federal program organized under the Office of National Drug
 21 Control Policy (“ONDCP”). 21 U.S.C. § 1706(a)(1). Its purpose is to “reduce drug
 22

23
 24 ² The Government explains that “[b]ecause the HIDTA program is administered by an entity
 25 or office within the chief executive of the federal government (the ONDCP)” and because any
 26 award against the HIDTA program would have to come from the U.S. Treasury, the Government
 27 “considered a claim against the HIDTA program as a claim against the United States, as a real party
 28 in interest.” (Gov’t’s Mot. 5:5-14 (citing *Deutsch v. Fed. Bureau of Prisons*, 737 F. Supp. 261, 265
 (S.D.N.Y. 1990) for the proposition that “because any judgment against Federal Bureau of Prisons
 and its officers in their official capacities would be payable out of federal treasury, claims were
 against United States, for sovereign immunity analysis”).) Consequently, references to the
 Government, United States, HIDTA, and the HIDTA program are used interchangeably for the
 purposes of this order.

1 trafficking and drug production in the United States” by: (1) “facilitating cooperation
 2 among Federal, State, local, and tribal law enforcement agencies to share information
 3 and implement coordinated enforcement activities”; (2) “enhancing law enforcement
 4 intelligence sharing among Federal, State, local, and tribal law enforcement
 5 agencies”; (3) “providing reliable law enforcement intelligence to law enforcement
 6 agencies needed to design effective enforcement strategies and operations”; and (4)
 7 “supporting coordinated law enforcement strategies which maximize use of available
 8 resources to reduce the supply of illegal drugs in designated areas and in the United
 9 States as a whole.” *Id.* § 1706(a)(2).

10 To achieve its goal, the Director of National Drug Control Policy “may
 11 designate any specified area of the United States as a high intensity drug trafficking
 12 area.” 21 U.S.C. §§ 1701(2), 1706(b)(1). After making a designation, the Director
 13 may: (1) “obligate such sums as are appropriated for the [HIDTA] Program”; (2)
 14 “direct the temporary reassignment of Federal personnel to such area, subject to the
 15 approval of the head of the department or agency that employs such personnel”; (3)
 16 “take any other action authorized . . . to provide increased Federal assistance to those
 17 areas”; and (4) “coordinate activities . . . with State, local, and tribal officials.” *Id.* §
 18 1706(b)(2).

19 In accordance with § 1706, “each high intensity drug trafficking area shall be
 20 governed by an Executive Board,” which “shall designate a chairman, vice chairman,
 21 and any other officers to the Executive Board that it determines are necessary.” 21
 22 U.S.C. § 1706(e)(1). The Executive Board’s responsibilities include “providing
 23 direction and oversight in establishing and achieving the goals” and “managing the
 24 funds” of the high intensity drug trafficking area. The Executive Board also reviews
 25 and approves “all funding proposals consistent with the overall objective of the high
 26 intensity drug trafficking area” and “all reports to the Director on the activities of the
 27 high intensity drug trafficking area.” *Id.* § 1706(e)(2).

28 //

1 The statute authorizing the HIDTA program is also clear that it creates no
 2 agency relationship. 21 U.S.C. § 1706(e)(4). “The eligibility requirements of [§
 3 1706] are intended to ensure the responsible use of Federal funds.” *Id.* “Nothing in
 4 this section is intended to create an agency relationship between individual high
 5 intensity drug trafficking areas and the Federal Government.” *Id.*

6 7 **B. Mr. LaNier’s and Ms. Taylor’s Employment³**

8 According to the FACs, Defendants City of Chula Vista and Californians for
 9 Drug Free Youth, Inc. (“CADFY”) are both HIDTA grantees “responsible for hiring
 10 employees, issuing contracts, managing property, and expending HIDTA program
 11 funds as necessary to carry out the grant activities under the general review of the
 12 HIDTA Executive Board.” (LaNier FAC ¶¶ 9-10; Taylor FAC ¶¶ 9-10.) Both
 13 plaintiffs allege Defendant Kean McAdam is “a payroll employee of the City of
 14 Chula Vista, [who] acted as the Director of the San Diego-Imperial HIDTA” and
 15 Defendant Ralph Partridge is also “a payroll employee of the City of Chula Vista . .
 16 . [who] acted as the Deputy Director of the San Diego-Imperial HIDTA.”⁴ (LaNier
 17 FAC ¶ 9; Taylor FAC ¶ 9.)

18 Mr. LaNier alleges that he, too, was a “payroll employee[] of the City of Chula
 19 Vista” with the job classification of “Director of NMI”⁵ for the San Diego-Imperial
 20 County regional HIDTA program. (LaNier FAC ¶ 11.) He further alleges that his
 21 position was “specifically approved by the City Council of the City of Chula Vista.”
 22 (*Id.*) Ms. Taylor, however, alleges that she was “a CADFY payroll employee
 23 working in the HIDTA joint task force office.” (Taylor FAC ¶¶ 12, 15.) According
 24

25 ³ After the LaNier and Taylor Actions were removed to federal court, both plaintiffs
 26 amended their complaints. The operative complaints in both actions are their respective First
 27 Amended Complaints (“FAC”). Mr. LaNier’s FAC will be referred to as “LaNier FAC” and Ms.
 28 Taylor’s will be referred to as “Taylor FAC” throughout this order.

⁴ Mr. McAdam is only named as a defendant in the LaNier Action, and Mr. Partridge is only
 named as a defendant in the Taylor Action. (LaNier FAC ¶ 9; Taylor ¶ 9.)

⁵ NMI stands for National Marijuana Initiative. (LaNier FAC ¶ 11.)

1 to the allegations in both FACs, Mr. McAdam and Mr. Partridge were Mr. LaNier's
2 and Ms. Taylor's superiors.

3 Over the course of several years, Ms. Taylor alleges that she was the target of
4 consistent sexual/gender-based harassment from Mr. Partridge, who also created a
5 hostile work environment. (Taylor FAC. ¶ 13.) Ms. Taylor recounts numerous
6 alleged instances of such conduct by Mr. Partridge in her FAC. (*See id.* ¶ 14(a)-(f).)
7 Ms. Taylor alleges that she reported Mr. Partridge's conduct to her direct supervisor,
8 Mr. LaNier. (*Id.* ¶ 13.) Mr. LaNier then allegedly reported Mr. Partridge's sexually
9 harassing and hostile conduct to Mr. McAdam. (LaNier FAC ¶ 16.) But the only
10 response he received from Mr. McAdam is that Mr. McAdam would "talk to Ralph
11 [Partridge]." (*Id.* ¶¶ 14, 17.) Thereafter, Mr. LaNier was accused of having "falsified
12 travel expenses." (*Id.* ¶ 13.)

13 In September 2013, Mr. McAdam informed Mr. LaNier of the accusation,
14 which was related to a business trip with his team to San Juan, Puerto Rico. (LaNier
15 FAC ¶ 13.) The trip was planned "at the specific request of ONDCP . . . to make an
16 assessment of the current marijuana impacts which the program was having." (*Id.*)
17 "[T]wo officers above [Mr. LaNier's] level"—one of whom was Mr. McAdam—
18 allegedly "expressly signed off and authorized" the travel request for the Puerto Rico
19 business trip. (*Id.*) When Mr. LaNier pressed Mr. McAdam for an explanation about
20 why he was being questioned about the travel to Puerto Rico, Mr. McAdam allegedly
21 responded that he would "talk to Ralph" about it. (*Id.* ¶ 14.) Mr. McAdam allegedly
22 did not provide or state anything more specific. (*Id.*)

23 On October 16, 2013, Mr. LaNier alleges that he was "forced to resign as an
24 employee of the City of Chula Vista." (LaNier FAC ¶ 15.) Mr. McAdam allegedly
25 "stated to members of the Executive Board which oversaw the San Diego HIDTA
26 operation that [Mr.] LaNier had lied about/falsified the necessity for and/or expenses
27 relating to the Puerto Rico trip." (*Id.*) Mr. LaNier's last day at work for the City was
28 October 22, 2013. (*Id.*) Ms. Taylor was terminated from her employment with

CADFY in April 2013 after Mr. Partridge had allegedly told the HIDTA Financial Management Committee that Ms. Taylor “didn’t do anything” and that “her job needed to be cut and replaced by a \$40,000 a year lesser secretary position.” (Taylor FAC ¶ 19.)

C. Procedural History

Mr. LaNier and Ms. Taylor separately filed actions in the San Diego Superior Court. The Government removed both actions to federal court. Following removal, Mr. LaNier and Ms. Taylor amended their respective complaints, with the operative complaints in both actions being their respective FACs.

In Mr. LaNier’s FAC, he asserts four claims for relief: (1) Defamation against Mr. McAdam and CCV; (2) Retaliation under the California Fair Employment and Housing Act (“FEHA”) against the Government, CCV, and CADFY; (3) Retaliation under Title VII, 42 U.S.C. § 2000(e)-3(a), against the Government, CCV, and CADFY; and (4) a claim under the Federal Torts Claims Act (“FTCA”) against the Government.

Ms. Taylor also asserts four claims for relief in her FAC: (1) Sexual Harassment / Hostile Work Environment under California Civil Code § 3294 against the Government, CCV, and CADFY; (2) Civil Assault against Mr. Partridge; (3) Intentional Infliction of Emotional Distress against Mr. Partridge; and (4) Negligent Infliction of Emotional Distress against Mr. Partridge.

CADFY filed answers in both the LaNier and Taylor Actions, and the Government and CCV separately filed motions to dismiss in both actions.

II. LEGAL STANDARD

A motion to dismiss pursuant to Rule 12(b)(6) of the Federal Rules of Civil Procedure tests the legal sufficiency of the claims asserted in the complaint. Fed. R. Civ. P. 12(b)(6); *Navarro v. Block*, 250 F.3d 729, 731 (9th Cir. 2001). The court

1 must accept all factual allegations pleaded in the complaint as true and must construe
2 them and draw all reasonable inferences from them in favor of the nonmoving party.
3 *Cahill v. Liberty Mutual Ins. Co.*, 80 F.3d 336, 337-38 (9th Cir. 1996). To avoid a
4 Rule 12(b)(6) dismissal, a complaint need not contain detailed factual allegations,
5 rather, it must plead “enough facts to state a claim to relief that is plausible on its
6 face.” *Bell Atl. Corp. v. Twombly*, 550 U.S. 544, 570 (2007). A claim has “facial
7 plausibility when the plaintiff pleads factual content that allows the court to draw the
8 reasonable inference that the defendant is liable for the misconduct alleged.”
9 *Ashcroft v. Iqbal*, 556 U.S. 662, 678 (2009) (citing *Twombly*, 550 U.S. at 556).
10 “Where a complaint pleads facts that are ‘merely consistent with’ a defendant’s
11 liability, it stops short of the line between possibility and plausibility of ‘entitlement
12 to relief.’” *Iqbal*, 556 U.S. at 678 (quoting *Twombly*, 550 U.S. at 557).

13 “[A] plaintiff’s obligation to provide the ‘grounds’ of his ‘entitle[ment] to
14 relief’ requires more than labels and conclusions, and a formulaic recitation of the
15 elements of a cause of action will not do.” *Twombly*, 550 U.S. at 555 (quoting
16 *Papasan v. Allain*, 478 U.S. 265, 286 (1986)) (alteration in original). A court need
17 not accept “legal conclusions” as true. *Iqbal*, 556 U.S. at 678. Despite the deference
18 the court must pay to the plaintiff’s allegations, it is not proper for the court to assume
19 that “the [plaintiff] can prove facts that [he or she] has not alleged or that defendants
20 have violated the . . . laws in ways that have not been alleged.” *Associated Gen.
21 Contractors of Cal., Inc. v. Cal. State Council of Carpenters*, 459 U.S. 519, 526
22 (1983).

23 Generally, courts may not consider material outside the complaint when ruling
24 on a motion to dismiss. *Hal Roach Studios, Inc. v. Richard Feiner & Co.*, 896 F.2d
25 1542, 1555 n.19 (9th Cir. 1990). However, documents specifically identified in the
26 complaint whose authenticity is not questioned by parties may also be considered.
27 *Fecht v. Price Co.*, 70 F.3d 1078, 1080 n.1 (9th Cir. 1995) (superseded by statutes on
28 other grounds). Moreover, the court may consider the full text of those documents,

even when the complaint quotes only selected portions. *Id.* It may also consider material properly subject to judicial notice without converting the motion into one for summary judgment. *Barron v. Reich*, 13 F.3d 1370, 1377 (9th Cir. 1994).

III. UNITED STATES' MOTIONS TO DISMISS

In its motion to dismiss, the Government essentially argues that Mr. LaNier and Ms. Taylor both fail to meet certain jurisdictional prerequisites to bring suit against the HIDTA program. Specifically, the Government contends that: (1) the HIDTA program is protected by sovereign immunity; (2) Mr. LaNier and Ms. Taylor both have failed to exhaust their respective administrative remedies as required by the FTCA to bring suit against the HIDTA program; and (3) the HIDTA program is not a suable "unincorporated association" under Federal Rule of Civil Procedure 17(b)(3)(A). The Court agrees with all three of the Government's contentions.

A. Sovereign Immunity

"It is axiomatic that the United States may not be sued without its consent and that the existence of consent is a prerequisite for jurisdiction." *United States v. Mitchell*, 463 U.S. 206, 212 (1983). Before a federal court exercises jurisdiction over any suit against the United States, there must be "a clear statement from the United States waiving sovereign immunity, together with a claim falling within the terms of the waiver." *United States v. White Mountain Apache Tribe*, 537 U.S. 465, 472 (2003) (citations omitted); *see also United States v. Park Lane Assocs., Ltd.*, 563 F.3d 907, 923-24 (9th Cir. 2009) (discussing the relationship between sovereign immunity and subject matter jurisdiction). "A party bringing a cause of action against the federal government bears the burden of demonstrating an unequivocal waiver of immunity." *Cunningham v. United States*, 786 F.2d 1445, 1446 (9th Cir. 1986).

//

//

1 “The government’s waiver of sovereign immunity cannot be implied, but
 2 ‘must be unequivocally expressed in statutory text.’” *Jachetta v. United States*, 653
 3 F.3d 898, 903 (9th Cir. 2011) (quoting *Lane v. Pena*, 518 U.S. 187, 192 (1996)). “[A]
 4 waiver of the Government’s sovereign immunity will be strictly construed, in terms
 5 of its scope, in favor of the sovereign.” *Lane*, 518 U.S. at 192. Furthermore, the
 6 United States Supreme Court has emphasized that a “statute’s legislative history
 7 cannot supply a waiver that does not appear clearly in any statutory text; “the
 8 ‘unequivocal expression’ of elimination of sovereign immunity” required is an
 9 “expression in statutory text.” *Id.* (citing *United States v. Nordic Village, Inc.*, 503
 10 U.S. 30, 37 (1992)).

11 Neither Mr. LaNier nor Ms. Taylor identify an “unequivocally expressed”
 12 waiver of sovereign immunity in any statutory text. *See Jachetta*, 653 F.3d at 903.
 13 Both plaintiffs fail to identify a waiver of sovereign immunity not only in their
 14 respective complaints, but also in their respective briefs opposing the Government’s
 15 motion. This failure to identify a waiver of sovereign immunity is unsurprising
 16 because there simply is no language contained in the statutory text of 21 U.S.C. §
 17 1706—which is the statute authorizing HIDTA—waiving sovereign immunity. *See*
 18 21 U.S.C. § 1706.

19 Mr. LaNier and Ms. Taylor both creatively argue that the Government indeed
 20 waived sovereign immunity under the doctrine of judicial estoppel when “HIDTA
 21 filed an answer to [the] plaintiff’s second amended complaint” in *Strobel v. United*
 22 *States Drug Enforcement Administration*, No. 2:11CV00053 (N.D. Ind. 2011), where
 23 HIDTA purportedly made “key admissions” that HIDTA was a suable entity.
 24 (LaNier Opp’n 4:3-27; Taylor Opp’n 3:22-4:20.) Even if HIDTA indeed made such
 25 admissions in *Strobel*, which the Court is not convinced it did, ultimately such an
 26 admission does not matter. United States Supreme Court precedent is clear that any
 27
 28

1 waiver of sovereign immunity must be expressly written in the statutory text.⁶ *See*
 2 *Lane*, 518 U.S. at 192. It even rejected the possibility that a waiver of sovereign
 3 immunity could be obtained through the statute’s legislative history. *Id.* If the
 4 Supreme Court rejected language potentially suggesting waiver of sovereign
 5 immunity directly from the legislative body drafting the statute, which is the entity
 6 with actual authority to waive immunity, it naturally follows that an assertion made
 7 regarding waiver in the answer to a complaint in a lawsuit could not waive sovereign
 8 immunity for HIDTA as a whole. *See id.*

9 Accordingly, the Court rejects Mr. LaNier’s and Ms. Taylor’s judicial-estoppel
 10 argument, and finds that HIDTA, insofar as it is even a suable entity, which is not
 11 clear that it is, has not waived sovereign immunity. *See Lane*, 518 U.S. at 192;
 12 *Jachetta*, 653 F.3d at 903. Despite HIDTA’s sovereign-immunity protection, Mr.
 13 LaNier and Ms. Taylor argue that a waiver exists through the FTCA, which the
 14 Government correctly recognizes grants a limited waiver of sovereign immunity in
 15 certain circumstances.

17 **B. Federal Torts Claim Act / Exhaustion of Administrative Remedies**

18 The Federal Torts Claim Act waives sovereign immunity of the United States
 19 for certain torts committed by federal employees. *Fed. Deposit Ins. Corp. v. Meyer*,
 20 510 U.S. 471, 477 (1994) (citing 28 U.S.C. § 1346(b)). The FTCA provides that
 21 district courts have exclusive jurisdiction of civil actions against the United States
 22 for money damages “for injury or loss of property, or personal injury or death caused
 23 by the negligent or wrongful act or omission of any employee” of the federal
 24 government while acting within the scope of his office or employment. 28 U.S.C. §
 25 1346(b). The FTCA “defines Government employees to include officers and
 26

27 ⁶ Supreme Court precedent also suggests that the United States Congress is the sole entity—
 28 as opposed to Department of Justice, another federal agency, or counsel for that agency—that
 retains the authority to waive sovereign immunity. *See Lane*, 518 U.S. at 192. Mr. LaNier and Ms.
 Taylor do not provide any legal authority to suggest otherwise.

employees of ‘any federal agency’ but excludes ‘any contractor with the United States.’” *United States v. Orleans*, 425 U.S. 807, 813-14 (1976) (citing 28 U.S.C. § 2671).

The United States is the only proper defendant in a suit brought pursuant to the FTCA. *Fed. Deposit Ins. Corp. v. Craft*, 157 F.3d 697, 706 (9th Cir. 1988); *Kennedy v. U.S. Postal Serv.*, 145 F.3d 1077, 1078 (9th Cir. 1998). “A claim against [a federal agency] in its own name is not a claim against the United States.” *Kennedy*, 145 F.3d at 1078. An agency is not a proper defendant under the FTCA. *Craft*, 157 F.3d at 706.

Furthermore, “[t]he FTCA bars claimants from bringing suit in federal court until they have exhausted their administrative remedies.” *McNeil v. United States*, 508 U.S. 106, 113 (1993). Before filing a lawsuit against a federal agency, the plaintiff must first present the claim to the federal agency, and the agency must deny the claim. *Kwai Fun Wong v. Beebe*, 732 F.3d 1030, 1033 (9th Cir. 2013); see 28 U.S.C. § 2675(a). “The timely filing of an administrative claim is a jurisdictional prerequisite to the bringing of a suit under the FTCA, and, as such, should be affirmatively alleged in the complaint.” *Gillespie v. Civiletti*, 629 F.2d 637, 640 (9th Cir. 1980). “A district court may dismiss a complaint for failure to allege this jurisdictional prerequisite.” *Id.*

HIDTA is not an agency. Section 1706, which authorizes HIDTA, explicitly states as much. Specifically, § 1706(e)(4), which is a subsection titled “No agency relationship,” states that “[t]he eligibility requirements of [§ 1706] are intended to ensure the responsible use of Federal funds. *Nothing in this section is intended to create an agency relationship between individual high intensity drug trafficking areas and the Federal Government.*” 21 U.S.C. § 1706(e)(4) (emphasis added). Given that the waiver of immunity only applies against Government employees of federal agencies, insofar as HIDTA having any employees, which is not clear that it does, sovereign immunity is not waived through the FTCA because it is not a federal

1 agency. *See Orleans*, 425 U.S. at 813-14 (citing 28 U.S.C. § 2671).

2 And because HIDTA is not a federal agency, there is no apparent means of
3 satisfying the FTCA's administrative-exhaustion requirement, which requires a
4 plaintiff to "first present[] the claim to the appropriate Federal agency." *See* 28
5 U.S.C. § 2675(a). Therefore, though Mr. LaNier and Ms. Taylor both allege that they
6 have exhausted their respective administrative remedies, it simply is not possible that
7 they could have first presented their respective claims to the appropriate *federal*
8 *agency*. Even though HIDTA is protected by sovereign immunity and has not waived
9 that immunity under the FTCA, Mr. LaNier and Ms. Taylor assert a third theory
10 under Federal Rule of Civil Procedure 17(b)(3) to establish that HIDTA is indeed a
11 suable entity.

12 13 **C. Unincorporated Association under Rule 17(b)(3)(A)**

14 Capacity to sue in federal court is governed by Federal Rule of Civil Procedure
15 17(b). A "partnership or other unincorporated association" that lacks the capacity to
16 sue under the law of the state in which the court is located "may sue or be sued in its
17 common name to enforce a substantive right existing under the United States
18 Constitution or laws." Fed. R. Civ. P. 17(b)(3)(A).

19 An "unincorporated association" is "a voluntary group of persons, without a
20 charter, formed by mutual consent for the purpose of promoting a common
21 objective." *Comm. for Idaho's High Desert, Inc. v. Yost*, 92 F.3d 814, 820 (9th Cir.
22 1996) (quoting *Local 4076, United Steelworkers of Am. v. United Steelworkers of*
23 *Am., AFL-CIO*, 327 F. Supp. 1400, 1403 (W.D. Pa. 1971)). However, "nothing in
24 the advisory committee notes of Rule 17 or in the case law interpreting and applying
25 Rule 17 has ever extended this 'unincorporated association' exception to government
26 units, subdivisions or agencies." *Dean v. Barber*, 951 F.2d 1210, 1214-15 n.4 (11th
27 Cir. 1992). "[O]nly private parties can be unincorporated associations within the
28 meaning of Rule 17(b)(3)(A)." *Rush v. City of Mansfield*, 771 F. Supp. 2d 827, 842

(N.D. Ohio 2011) (citing *Dean*, 951 F.2d at 1215 n.4); *see also Erie Human Relations Comm’n v. Tullio*, 493 F.2d 371, 376 (3d Cir. 1974) (Adams, J., concurring) (suggesting that under Rule 17(b), only private entities may be considered “unincorporated associations”); *Miller v. City of Philadelphia*, No. CIV. A. 96-3578, 1997 WL 476352, at *6 (E.D. Pa. Aug. 19, 1997) (finding that Philadelphia Department of Human Services was a governmental agency and therefore did not have the capacity to be sued as an “unincorporated association” under Rule 17(b)).

HIDTA is federal program authorized by 21 U.S.C. § 1706. It is not a private party. There is ample case law that this Court finds persuasive that Rule 17(b)(3)’s “unincorporated association” exception only applies to private parties. *See Dean*, 951 F.2d at 1214-15 n.4; *Rush*, 771 F. Supp. 2d at 842. Accordingly, HIDTA, as a non-private party, is not an “unincorporated association,” and by extension, not a suable party within the meaning of Rule 17(b)(3)(A). *See id.*

IV. CITY OF CHULA VISTA’S MOTIONS TO DISMISS

The City of Chula Vista concentrates its motions to dismiss on the existence of an employer-employee relationship between the relevant parties. The City argues that Mr. LaNier and Ms. Taylor both fail to adequately allege that the City is their employer. Additionally, the City argues Mr. LaNier fails to adequately allege Mr. McAdam is a City employee. With respect the Mr. LaNier, the City argues that Mr. LaNier fails to adequately allege an employer-employee relationship within the meanings of California Government Code § 815.2, FEHA, and Title VII; and with respect to Ms. Taylor, the City asserts the same but only under FEHA.

In both the LaNier and Taylor Actions, the City of Chula Vista requests judicial notice of the HIDTA Program Policy and Budget Guidance (“Guidance”), dated July 5, 2012. Mr. LaNier and Ms. Taylor also attach the Guidance to their respective amended complaints. The Court will consider the full text of the Guidance as a document identified in the operative complaints whose authenticity is not

questioned, and not as a judicially noticed document. *See Fecht*, 70 F.3d at 1080 n.1.

A. HIDTA Program Policy and Budget Guidance

In addition to 21 U.S.C. § 1706—the statute authorizing HIDTA—the Guidance provides important insight into the HIDTA program’s relationship with its grantees and other individuals involved in the program. “A HIDTA is a coalition of Federal, state, local, and tribal law enforcement agencies from a specific geographic area that have joined together to apply for and to receive Federal grants to facilitate certain specific drug control goals.” (Guidance § 2.3.3.) Reiterating what is already clear from the text of the authorizing statute, the Guidance bluntly states that “[a] HIDTA is not a Federal agency.” (*Id.*) It continues:

A HIDTA is not an agent of ONDCP, the Executive Office of the President (EOP), or any other Federal agency. Each individual HIDTA participant has a responsibility not to act in a way that implies or suggests that he/she is an agent or otherwise acting on behalf of ONDCP, the EOP, or any other Federal agency based upon his/her involvement in HIDTA activities.

(*Id.*)

“The HIDTA program is a regional program, locally managed, and tied to a national mission.” (Guidance § 2.3.5.) “HIDTA-funded initiatives are collocated and jointly-staffed law enforcement task forces led by a local, state, tribal, or Federal agency.” (*Id.*)

Most relevant to the issues currently before the Court, the Guidance states that “HIDTAs and their Executive Boards are not considered legal entities under Federal law and generally lack the authority to enter into contracts, hire employees, or obligate federal funds.” (Guidance § 3.4.5.) HIDTA Executive Boards are responsible for selecting grantees that “provide financial management services.” (*Id.*) “Those grantees will hire employees, issue contracts, manage property, and expend HIDTA program funds as necessary to carry out the grant activities approved

by the Executive Board.” (*Id.*) “The use of those funds is subject to the respective grantee’s policies and procedures pertaining to property management, employment, procurement, and financial management.” (*Id.*) And the “Executive Board is responsible for selecting grantees whose established policies and procedures are consistent with Federal grantee regulatory requirements.” (*Id.*)

Each Executive Board selects an individual to serve as the HIDTA Director. (Guidance § 3.4.7.) “*That individual will be an employee or contractor of a grantee* and will be subject to all employment, contracting, and other conditions established by that grantee.” (*Id.* (emphasis added).) Oversight and removal of a HIDTA Director may only be done “in consultation with the grantee.” (Guidance §§ 3.4.7, 3.4.8.)

“The HIDTA Director is responsible for the successful implementation of the Executive Board’s Strategy and policies.” (Guidance § 3.5.1.) The principal responsibilities of the HIDTA Director include: (1) “Provid[ing] day-to-day administrative, financial, and program management for the operations of the HIDTA”; (2) “Facilitat[ing] and encourag[ing] the development of innovative approaches to drug law enforcement”; (3) “Ensur[ing] that HIDTA initiatives are in compliance with HIDTA program requirements”; and (4) “Advis[ing] the Executive Board concerning the performance of HIDTA initiatives.” (*Id.*)

“Grantees may use HIDTA funds to hire employees or to enter into contracts with individuals to manage and staff the HIDTA.” (Guidance § 6.8.1.)

B. California Government Code § 815.2 (Defamation Claim)

California Government Code § 815.2 provides that “[a] public entity is liable for injury proximately caused by an act or omission of an employee of the public entity within the scope of his employment if the act or omission would . . . have given rise to a cause of action against that employee[.]” An “employee” is defined to include “an officer, judicial officer as defined in Section 327 of the Elections Code,

1 employee, or servant, whether or not compensated, but does not include an
2 independent contractor.” Cal. Gov’t Code § 810.2. According to some California
3 courts, that definition of “employee” has evolved to become “[a] person employed to
4 perform services in the affairs of another and who with respect to the physical
5 conduct in the performance of the services is subject to the other’s control or right to
6 control.” *See Townsend v. California*, 191 Cal. App. 3d 1530, 1534-35 (1987)
7 (internal quotation marks omitted).

8 With respect to the defamation claim against the City of Chula Vista, Mr.
9 LaNier must adequately allege that Mr. McAdam was the City’s employee or servant
10 within the meaning of California Government Code § 815.2. The City argues that,
11 “pertaining to the operations of the HIDTA,” it had “no control or right to control
12 Director McAdam,” and thus, Mr. McAdam was not the City’s employee or servant.
13 (CCV’s *LaNier* Mot. 8:22-9:19.) Instead, the City suggests that the HIDTA
14 Executive Board possessed such authority over Mr. McAdam, thereby excluding the
15 possibility that the City was Mr. McAdam’s employer. (*See id.*) The City’s position
16 lacks merit.

17 There is no dispute that Mr. McAdam had certain obligations to the HIDTA
18 program. (*See* Guidance § 3.5.1.) But there is also no dispute regarding Mr. LaNier’s
19 allegations that the City of Chula Vista was, at all relevant times, a HIDTA grantee,
20 and that Mr. McAdam was indeed on the City’s payroll as an employee. (*See* LaNier
21 FAC ¶¶ 9-10.) That said, there are no facts before the Court supporting the notion
22 that a HIDTA Director’s obligations are owed to the HIDTA program or Executive
23 Board at the exclusion of the grantee or vice versa. In suggesting otherwise, the City
24 presents a false dichotomy unsupported by law and the record. Though Mr. LaNier
25 persuasively argues that an individual such as Mr. McAdam may be a part of a joint
26 employer relationship, the record before the Court strongly suggests that Mr.
27 McAdam is solely an employee of the City of Chula Vista.

28 //

1 The starting point in this determination is the fact that the City of Chula Vista
 2 is a HIDTA grantee. From there, the Court can reasonably infer from the Guidance—
 3 which Mr. LaNier *and* the City both submit for the Court’s consideration—that Mr.
 4 McAdam is the City’s employee and not HIDTA’s. As recounted above, grantees
 5 are tasked with “*hir[ing] employees, issu[ing] contracts, manag[ing] property, and*
 6 *expend[ing] HIDTA program funds as necessary to carry out the grant activities*
 7 *approved by the Executive Board.*” (*See* Guidance § 3.4.5 (emphasis added).) The
 8 Guidance also explicitly states that “[t]he use of [HIDTA] funds is subject to the
 9 respective grantee’s policies and procedures pertaining to . . . employment[.]” (*Id.*)
 10 Provisions in the Guidance make it clear that HIDTA is not an employer. Insofar as
 11 any employees being hired to promote HIDTA program goals, that is left to the
 12 HIDTA grantees, such as the City of Chula Vista and CADFY.

13 Perhaps the HIDTA Director though is not an ordinary employee. Provisions
 14 in the Guidance could be interpreted to support that idea. The Guidance, for example,
 15 identifies specific obligations for HIDTA Directors, such as “[p]rovid[ing] day-to-
 16 day administrative, financial, and program management for the operations of the
 17 HIDTA,” among others. (*See* Guidance § 3.5.1.) However, dispelling the notion that
 18 a HIDTA Director may have special employee status, the Guidance expressly states
 19 that the individual selected to be a HIDTA Director “will be an employee or
 20 contractor of a grantee and will be subject to all employment, contracting, and other
 21 conditions established by that grantee,” and any oversight or removal decision related
 22 to the HIDTA Director may only be accomplished “in consultation with the grantee.”
 23 (*See id.* §§ 3.4.7, 3.4.8.) In short, HIDTA, the HIDTA program, and the Executive
 24 Board do not hire or manage any employees; it is the grantee that possesses the
 25 authority to hire and manage employees. (*See id.* §§ 3.5.1, 3.5.7, 3.5.8.)

26 //

27 //

28 //

Applied to the circumstances in this case, Mr. LaNier makes an adequate showing at this time under Rule 12(b)(6), that Mr. McAdam, a HIDTA Director, is an employee of the City of Chula Vista and not HIDTA, the HIDTA program, or the HIDTA Executive Board. Necessarily, the Court rejects the City's contention that it had no control of Mr. McAdam and that the Executive Board somehow possessed authority as an employer over Mr. McAdam. Consequently, the Court **DENIES** the City's motion to dismiss Mr. LaNier's defamation claim.

B. Title VII and FEHA

Title VII and FEHA make it unlawful for an employer to retaliate against an employee for opposing or participating in an investigation into practices forbidden by these laws—e.g., discrimination based on race, sex, religion, etc. *See* 42 U.S.C. § 2000e-3(a); Cal. Gov't Code § 12940(h). Under FEHA, only an “employer” is liable for retaliation against an employee. *Jones v. Lodge at Torrey Pines P'ship*, 42 Cal. 4th 1158, 1173 (2008) (citing Cal. Gov't Code § 12940(h)). Similarly, “there must be some connection with an employment relationship for Title VII protections to apply.” *Lutcher v. Musicians Union Local 47*, 633 F.2d 880, 883 (9th Cir. 1980).

Continuing a similar line of reasoning regarding Mr. McAdam's employment status, the City of Chula Vista argues that Mr. LaNier fails to allege that the City was his employer within the meaning of Title VII and FEHA, suggesting once again that the HIDTA Executive Board is Mr. LaNier's employer. (CCV's *LaNier* Mot. 12:18-13:7.) Mr. LaNier alleges he was a “payroll employee” of the City with the title of “Director of NMI,” and that his position was “specifically approved by the City Council of the City of Chula Vista[.]” (*See* *LaNier* FAC ¶ 11; Guidance §§ 3.4.5, 6.8.1.)

//

//

//

1 The Court has already addressed above that similar allegations in the FAC
 2 coupled with the Guidance strongly suggest that HIDTA—the program itself and the
 3 Executive Board included—is not an employer. Rather, within the HIDTA program,
 4 grantees of HIDTA funding are the employers of any staff needed to carry out the
 5 objectives of HIDTA. That reasoning also applies here to Mr. LaNier. Consequently,
 6 the Court can reasonably infer that Mr. LaNier was indeed an employee of the City
 7 of Chula Vista at all relevant times to this action as he could not have been an
 8 employee of HIDTA.

9 The same cannot be said for Ms. Taylor. Though Ms. Taylor identifies Mr.
 10 Partridge, the Deputy HIDTA Director, as the wrongdoer, and the record suggests
 11 that he was an employee of the City of Chula Vista, Ms. Taylor does not allege she,
 12 too, was an employee of the City. Instead, she alleges she was employed by CADFY
 13 as a “payroll employee working in the HIDTA joint task force office.” (Taylor FAC
 14 ¶¶ 12, 15.) The record before the Court is devoid of anything suggesting Ms. Taylor
 15 maintained an employment relationship with the City of Chula Vista. Therefore, the
 16 Court cannot reasonably infer from her FAC or the Guidance that Ms. Taylor was an
 17 employee of the City of Chula Vista, and as such, she cannot sustain her FEHA claim
 18 against the City.

19 Accordingly, the Court **DENIES** the City of Chula Vista’s motion to dismiss
 20 as to the Mr. LaNier’s Title VII and FEHA claims, but **GRANTS** the City’s motion
 21 as to Ms. Taylor’s FEHA claim.

22 23 **V. CONCLUSION & ORDER**

24 In light of the foregoing, the Court **GRANTS WITHOUT LEAVE TO**
 25 **AMEND** the Government’s motions to dismiss in both the LaNier and Taylor
 26 Actions, **DENIES** the City of Chula Vista’s motion to dismiss in the LaNier Action,
 27 and **GRANTS WITHOUT LEAVE TO AMEND** the City’s motion to dismiss in
 28 the Taylor Action. *See Cervantes v. Countrywide Home Loans, Inc.*, 656 F.3d 1034,

1 1041 (9th Cir. 2011) (“[A] district court may dismiss without leave where . . .
 2 amendment would be futile.”). In other words, the Court **DISMISSES WITH**
 3 **PREJUDICE** the Government from both the LaNier and Taylor Actions, and the
 4 City of Chula Vista from the Taylor Action.

5 When the Government removed the LaNier and Taylor Actions to federal
 6 court, the identified bases for subject matter jurisdiction were: (1) both actions named
 7 the United States, its agencies, and officers as a defendant under 28 U.S.C. §
 8 1442(a)(1); and (2) both actions involved a federal question under 28 U.S.C. §
 9 1441(a). (*LaNier* Removal Notice ¶¶ 9-12; *Taylor* Removal Notice ¶¶ 9-12.) With
 10 the dismissal of the Government in the LaNier Action, the Title VII claim remains,
 11 providing a basis for subject matter jurisdiction. However, in the Taylor Action,
 12 there are no remaining federal claims.


13 With the dismissal of the Government, the Court lacks subject matter
 14 jurisdiction over the Taylor Action, and it declines to exercise supplemental
 15 jurisdiction over the remaining state-law claims.⁷ See 28 U.S.C. § 1367(c)(3);
 16 *Carlsbad Tech., Inc. v. HIF Bio, Inc.*, 556 U.S. 635, 639 (2009) (“A district court’s
 17 discretion whether to exercise [supplemental] jurisdiction after dismissing every
 18 claim over which it had original jurisdiction is purely discretionary.”). The Supreme
 19 Court has explained that “in the usual case in which all federal-law claims are
 20 eliminated before trial, the balance of factors to be considered under the
 21 [supplemental] jurisdiction doctrine—judicial economy, convenience, fairness, and
 22 comity—will point toward declining to exercise jurisdiction over the remaining state-
 23 law claims.” *Carnegie-Mellon Univ. v. Cohill*, 484 U.S. 343, 350 n.7 (1988); see
 24 also *Gini v. Las Vegas Metro. Police Dep’t*, 40 F.3d 1041, 1046 (9th Cir. 1996).

25
 26 ⁷ It is possible that the Court does not have discretion to exercise supplemental jurisdiction
 27 over the remaining state-law claims in the Taylor Action. If the district court “dismisses [all federal
 28 claims] for lack of subject matter jurisdiction, it has no discretion and must dismiss all [state-law]
 claims.” *Herman Family Revocable Trust v. Teddy Bear*, 254 F.3d 802, 806 (9th Cir. 2001). Given
 that the federal claim in the Taylor Action was dismissed for failing to meet certain jurisdictional
 prerequisites, it may be that the claim was dismissed for lack of subject matter discretion.

1 Ms. Taylor's remaining intentional-tort claims stem from violations of state
2 common law, and should properly be adjudicated in the state court. *See Carnegie-*
3 *Mellon Univ.*, 484 U.S. at 350 n.7. Accordingly, the Court **REMANDS** the Taylor
4 Action to the San Diego Superior Court.

5 **IT IS SO ORDERED.**

6
7 **DATED: February 16, 2016**


8 **Hon. Cynthia Bashant**
9 **United States District Judge**